



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Georg Bogner et al. Art Unit : 2815
Serial No. : 10/683,712 Examiner : Joseph H Nguyen
Filed : October 10, 2003 Conf. No. : 2057
Title : LEADFRAME AND HOUSING FOR A RADIATION-EMITTING COMPONENT,
RADIATION-EMITTING COMPONENT, AND A METHOD FOR PRODUCING THE
COMPONENT

MAIL STOP AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450


INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. A check for \$180 in payment of the late submission fee of §1.17(p) is enclosed. Please apply any other charges or credits to Deposit Account No. 06-1050, referencing 12406-127001.

Respectfully submitted,

Date: 1/16/07


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I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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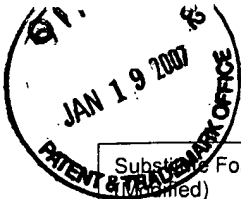

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| Substitute Form PTO-1449 (Unpublished) | U.S. Department of Commerce Patent and Trademark Office | Attorney's Docket No. 12406-127001 | Application No. 10/683,712 |
| Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b)) | | Applicant Georg Bogner et al. | |
| | | Filing Date October 10, 2003 | Group Art Unit 2815 |

U.S. Patent Documents

| Examiner Initial | Desig. ID | Document Number | Publication Date | Patentee | Class | Subclass | Filing Date If Appropriate |
|------------------|-----------|-----------------|------------------|----------|-------|----------|----------------------------|
| | AA | | | | | | |
| | AB | | | | | | |
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Foreign Patent Documents or Published Foreign Patent Applications

| Examiner Initial | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation | |
|------------------|-----------|-----------------|------------------|--------------------------|-------|----------|-------------|----|
| | | | | | | | Yes | No |
| | AL | 61-35576 | 02/20/1986 | Japan | | | Abstr. | |
| | AM | 4-230056 | 08/19/1992 | Japan | | | Abstr. | |
| | AN | 7-283441 | 10/27/1995 | Japan | | | Abstr. | |
| | AO | 11-346006 | 12/14/1999 | Japan | | | Abstr. | |
| | AP | | | | | | | |

Other Documents (include Author, Title, Date, and Place of Publication)

| Examiner Initial | Desig. ID | Document |
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| Examiner Signature | Date Considered |
| EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | |